ASET Global Virtual TechSprint 2022

Official Terms and Rules

By registering for the ASET Global Virtual TechSprint 2022 (the TechSprint), each participant agrees to abide by these Terms and Rules (the "Rules").

Organizers¹

The TechSprint is organized and conducted by the U.S. Department of State, U.S. Department of the Treasury, and the Alliance for Innovative Regulation Institute (AIR) in conjunction with a diverse array of partners around the world (collectively, the "Organizers").

Objectives

The TechSprint is a technology-based competition that pits the ingenuity of experts across sectors worldwide against criminals who profit from corrupt acts through the creation of new and innovative technologies. Through the TechSprint, the U.S. Department of State, through its Bureau of International Anti-Narcotics and Law Enforcement (INL), and the U.S. Department of the Treasury, through its Office of Terrorist Financing and Financial Crimes (TFFC), seek to explore and demonstrate the use of technology as a viable tool in the fight against corruption and surface solution ideas for potential development and adoption.

Publicity and Promotion

The TechSprint events may be announced and promoted on official U.S. government (to include U.S. embassy and consulate) websites and webpages on social media platforms such as LinkedIn, Facebook, Twitter, Instagram, and YouTube. Private social media companies whose platforms are utilized by Organizers in carrying out such publicity and promotional activities are not thereby also considered to be Organizers Participants are reminded that they are subject to the terms of service and any agreement contained therein of any private platform with which they might engage in the course of participating in the TechSprint.

Eligibility

Individuals must be at least 18 years old on the date of TechSprint registration. There is no upper age limit on participating in the TechSprint. The following individuals are not eligible to compete in the TechSprint Sprinter Track (defined below): Employees, interns, directors, and officers of the Organizers or any employees, interns, directors, or officers of any agencies, companies, or entities directly involved in the administration or execution of the TechSprint, and the immediate family members (defined as spouse, recognized partner, parent, child, sibling, and spouse or 'step' of each) and those living in the same household of each such individual.

¹ Throughout these Rules, the term "Organizers" refers to either single or multiple Organizers, as applicable.

Registration

Individuals wishing to participate in the TechSprint must register by RSVP-ing through the webpage for the virtual event ("event") role in which they seek to participate. To find a complete listing of all the TechSprint events and roles, please visit <u>anticorruptiontechsprint.org</u>.

Sprinter Track: Open to individuals who are keen to solve a problem statement in collaboration with others and design prototypes to present to a panel of judges. Each participant must register as an individual, meeting the entry criteria below, and will be expected to collaborate with individuals trying to solve one of the problem statements. All intellectual property and ideas developed during the event in this track will be shared and made publicly available. Participants can choose from a range of different roles within teams. You are asked when you apply which role(s) you wish to be considered for and which problem statement(s) you want to work on. The organizers of the Sprinter track will attempt to place individuals in their preferred roles in teams focused on the preferred problem statement - however, this is not a guarantee, and the understanding and enthusiasm of individuals is sought irrespective of which problem statement they are asked to attempt to solve. Additionally, each participant must register in her/his personal/private capacity (*i.e.*, representing only her/himself and not an employer).

Please Note: All participants must provide digital, electronic, or written agreement to the TechSprint Statement of Eligibility, License, and Release (located at the end of the registration form). Any individual declining to do so will not be permitted to participate in the TechSprint. The start and end time for the TechSprint event will be determined by the event's organizers. The webpage for the event will be updated periodically, and organizers will reach out to participants with further details as each event approaches. Only solutions developed between the start and end time of each participant's selected event will be eligible to win.

Problem Statements

Problem statements are located on the event website and in the Participant Pack. Each team will either choose a statement from the list of released problems statements or combine elements of several released problem statements into a new challenge statement to work on for the entirety of the event. Teams will work to develop solutions that can help solve the problems indicated in the statement they specify.

Hardware and Software

Participants will be granted access to and provided with licenses and onboarding instructions for technology tools needed for the Sprint including cloud computing and collaboration platforms. Participants must be willing to create an account for the platform. Participants may also leverage public collaboration and design tools.

Developing Your Solution

The Organizers are not responsible for, and will not assist in resolving, any disputes between team members or Participants.

Participants must not infringe, misappropriate, or violate any rights of any third party including, without limitation, copyright (including moral rights), trademark, trade secret, or right of privacy. The Organizers are not responsible for, and will not assist in resolving, any disputes between team members or Participants and third parties.

Software Codes written by the Participants should not contain any confidential, proprietary or other sensitive information, including but not limited to any third-party personal information or any third party's intellectual property. Further, Participants cannot in any way copy protected software code that already exists and submit it as part of a work product or software code (for clarity, Participants can use open-source software code, however Participants must ensure they adhere to applicable open source licensing requirements) and it must not contain anything that is or may be illegal or be in any way improper. Participants must not use any patent, copyright, trademark or other proprietary right except as permitted under applicable laws.

Participants represent and warrant to Organizers that (i) Participants are the sole and exclusive owner of software codes used during the competition, or Participants have all right and authority to present or use the software code used during the competition and shall grant the rights to Organizers, its affiliates and/or its agents and contractors to present or showcase the ideas, reports or presentations presented by the Participants; (ii) Participant further agrees that they will keep confidential all information of a confidential nature or which is proprietary to the Organizers, which is disclosed to Participant under or pursuant to the TechSprint, and not disclose any confidential information to any third party.

Solutions developed by the TechSprint participants must conform to the following terms:

- Solutions must reflect original work of the participants. Leveraging open-source data and code are permitted, but participants must develop original solutions and, if any third party material is used, be transparent about what elements are original vs. leveraged.
- Participants whose solutions include any third party material (such as open-source data and/or code) must be able to provide, upon request by Organizers, documentation of all appropriate licenses/permissions/consents and releases for having used such third party material. If a team cannot provide such documentation, Organizers reserve the right, at their sole discretion, to disqualify that team and its solution.
- Solutions may not contain any harmful computer code (sometimes referred to as "malware," "viruses," or "worms").
- Solutions must not violate or infringe upon the intellectual property, privacy, publicity, or other legal rights of any third party.
- Solutions must not contain any advertisements or solicitation.
- Solutions must not contain anything that, in the judgment of Organizers, is or may be construed as: (i) threatening, harassing, degrading or hateful; (ii) defamatory; (iii) fraudulent or tortious; (iv) obscene, indecent, sexually explicit, disrespectful, or otherwise objectionable; or (v) protected by an intellectual property or other proprietary right without the express prior consent of the owner of such right.
- Solutions must conform to U.S. law and other applicable laws and accord with norms of civil discourse. The content of a solution must be suitable for a global, public audience.

- Solutions may not contain any material that would give rise to criminal or civil liability or that encourages conduct constituting a criminal offense.
- Solutions may not be the subjects of any actual or threatened litigation or claim.
- Solutions must otherwise comply in all respects with these Rules.

Presenting Your Solution

Prior to the conclusion of the event, each team will virtually present its solution before a panel of judges. These presentations are intended to help teams develop a sense of camaraderie, disseminate information about the solutions they developed, and allow them to discuss the creative approach they took to develop their solutions. Each team must utilize a digital visual aid (*e.g.*, a video, a slide deck in Microsoft PowerPoint) in virtually presenting its solution. The presentation must be 5-7 minutes in length.

Each team should be ready for at least 3 minutes of Q&A by the judging panel.

Please Note: Prior to virtually presenting before the judging panel, each team must submit the visual aid it will use in its presentation to the Techsprint organizers by 8:00 AM ET on Friday, June 24 2022 (submission method TBD). **Do not attempt to submit your actual solution; your submission must consist only of your visual presentation aid.** All submissions will subsequently be made publicly available on <u>anticorruptiontechsprint.org</u>. The solutions of any participants who fail to submit their presentation aids prior to 8:00 AM ET on Friday, June 24, 2022 will not be considered by the judges.

Judging of Solutions

The panel of judges for each event will use the criteria below as a framework for judging participant solutions and selecting a team winner of the event. A typical judging panel will be comprised of 5-7 individuals, who collectively possess anti-corruption, regulatory, and technological expertise. The judging panel will evaluate each solution as presented in the associated team's presentation, submitted visual presentation aid, and Q&A.

Criteria and Scoring Scale for Use by Judging Panels

Ouality of Idea/Innovation

Points	Scoring Scale
0	The solution is not unique or innovative.
1	The solution provides a better/faster/clearer way to solve the problem.
2	The solution makes use of an innovative approach to address an area of corruption that has not been sufficiently addressed by existing technology, and/or will help address an urgent anti-corruption issue.
3	The solution makes use of an innovative approach to address an area of corruption that has not been sufficiently addressed by existing technology. The new technology also helps address a local/national issue but can also be applied more broadly to the region or beyond.

Impact

Points	Scoring Scale
0	The solution is not relevant to combating corruption, and/or the solution does
	not contain a method for measuring or estimating results and/or impacts.
1	The solution addresses a relevant problem for combating corruption, but it is difficult
	to implement or scale and the team does not have a clear plan to move the project
	forward; and the solution only contains limited methods for measuring or estimating
	results and/or impacts.
2	The solution addresses a relevant problem for combating corruption, and:
	 The team has a clear plan to move the project forward
	 But it will be hard to implement or scale this project or idea
	• A means for measuring or estimating results/impacts in a significant manner is
	provided
3	The solution has a predictably sustainable lifecycle and:
	 Can easily be implemented and scaled
	 Contains a robust means for measuring or estimated results in a significant
	manner

Interface

Points	Scoring Scale
0	Not usable.
1	Usable but it is not intuitive without clear instructions.
2	Usable and clear but requires additional guidance for use that is not provided.
3	Both intuitive and visually appealing and provides sufficient guidance for user
	interactions.

Winner Recognition

Descriptions of the event awards are below. Event winners may be announced on Organizer websites and/or social media properties.

<u>Fast</u>: Most practical development, makes best re-use of existing capabilities/nascent technology. Fastest time to develop and implement.

<u>Jump</u>: Most original adaptation or development of a technology. May take some time and effort to develop but provides the most innovative product or business model.

<u>Eureka</u>: Taking into consideration all of the developments, this one has the potential to provide the biggest jump forward.

The winner of the 2022 awards will be announced on Demo Day, June 24 during the demo day program. The submitted visual presentation document (Powerpoint, video, etc.) for the winning solution may be described and displayed on websites of or managed by Organizers.

Post-The TechSprint 2022 Sharing of Coding Developed as part of Your Solution

Following the conclusion of The TechSprint 2022, participants are encouraged to make any original code they may have developed as part of their solutions publicly available on code sharing platforms such as GitHub.com and Bitbucket.org. Doing so is consistent with the objectives of The TechSprint, which aims to build broadly accessible solutions in the global fight against corruption. As a competition supported by public funding, The TechSprint is not intended to support the development of private, profit-making ventures. At the same time, participants are free to promote their participation in The TechSprint and any original code they may have developed as part of their solutions in order to leverage private funding for advancing those solutions

Additional Terms

- During The TechSprint (to include the Judging and award phases), Organizers and individuals involved in judging may not hold proprietary rights in any intellectual property created as part of a participant's solution and associated submission(s).
- In the event of any question, difference of views, or dispute regarding compliance with, interpretation, or application of these Rules, Organizers reserve the right to resolve such questions, differences of views, and disputes in their sole discretion.
- Organizers do not endorse any solutions or submissions or messages expressed in any solutions or submissions, and expressly disclaim any and all liability in connection with solutions and submissions, to include any disputes between collaborators/team members related to a solution or submission.
- Organizers reserve the right at any time to verify the validity of participant solutions and submissions, and to disqualify any participant, solution, or submission they deem, in their sole and absolute discretion, to be noncompliant with these Rules. Organizers also reserve the right to request further documentation, in their sole and absolute discretion, to verify eligibility and compliance with these Rules.
- Organizers reserve the right, at any time and in their sole discretion, to disqualify and/or deem ineligible any participant who they reasonably believe has violated the terms of use of a platform or is acting in bad faith; in an unsportsmanlike or disruptive manner; or with intent to annoy, abuse, threaten, or harass any other person.
- Organizers reserve the right to modify, suspend, terminate, or cancel the TechSprint, any TechSprint event or other component of the TechSprint at their sole discretion for any reason
- The conduct of the TechSprint event, to include the judging of participant solutions and recognition of finalists, will be carried out in full compliance with applicable U.S. law and the laws of the host countries. In the event of a conflict between applicable U.S. law and event host country laws, U.S. law will apply.
- Organizers will take reasonable measures to protect privacy data, personally identifiable
 information, and other sensitive data of participants. Contact information provided by
 participants may be stored by Organizers and may be used to contact participants for
 other purposes in the future. Such information may be made available to third parties, to
 the extent permitted by applicable law.

- Organizers assume no liability or responsibility for any loss or harm resulting from any
 individual's participation in or attempt to participate in the TechSprint or ability or
 inability to upload, download, or otherwise access any information in connection with
 participating in the TechSprint.
- Organizers assume no responsibility or liability for technical problems, or technical malfunctions arising in connection with the operation of the TechSprint, including: hardware or software errors; faulty computer, telephone, cable, satellite, network, electronic, wireless or Internet connectivity, or other online communication problems; errors or limitations of any Internet service providers, servers, hosts or providers; garbled, jumbled, or faulty data transmissions; failure of any e-mail transmissions to be sent or received; lost, late, delayed, or intercepted e-mail transmissions; inaccessibility of a the TechSprint-related site in whole or in part for any reason; traffic congestion on the Internet or specifically on a the TechSprint-related site; unauthorized human or non-human intervention in the operation of The TechSprint, including without limitation, unauthorized tampering, hacking, theft, virus, bugs, or worms; or destruction of any aspect of the TechSprint, or loss, miscount, misdirection, inaccessibility, or unavailability of an email account used in connection with the TechSprint.
- Organizers bear no responsibility for and have the right to refuse at their sole and absolute discretion, any submissions that have been altered or tampered with, or are otherwise misdirected, incomplete, non-conforming, corrupt, lost, late, or ineligible, whether due to Internet or e-mail server failure or otherwise. Proof of transmission of a submission will not constitute proof of receipt.
- Participants are responsible for keeping their event organizers informed of any changes to their contact or other pertinent information during The TechSprint.
- Use of the TechSprint-related site is at an individual's own risk. Organizers are not responsible for any personal injury, property damage, or losses of any kind which may be sustained to computer equipment resulting from participation in the TechSprint, use of a TechSprint-related site or the downloading of information from such a site.
- Organizers are not liable in the event that any portion of the TechSprint is cancelled or delayed due to weather, fire, strike, acts of war or terrorism, or any other reason.